

On Breaking Generalized Knapsack

Public Key Cryptosystems

(abstract)

by

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I. INTRODUCTION

In 1976 Diffie and Hellman introduced the concept of a public-key cryptosystem [ 1 ]. In 1977 Rivest, Shamir and Adleman discovered the first incarnation of such a system [ 9 ], and soon afterwards Merkle and Hellman produced a second one [ 7 ]. Despite great interest in the area, the years have produced no other public-key cryptosystems which have attracted wide spread attention.

The Merkle-Hellman system is based on the knapsack problem, and in the original paper on the topic, both a basic system and an iterated one were presented. In April of 1982, Adi Shamir demonstrated that the basic system was insecure [ 8 ].

In this paper new methods, generalizing those of Shamir, are presented for attacking generalizations of the basic system. It is shown how these methods may be applied to the Graham-Shamir public-key cryptosystem [ 2 ], and the iterated Merkle-Hellman public-key cryptosystem. We are unable to present a rigorous proof that the attacks presented here are effective. However, in the case of the Graham-Shamir system, the methods have been implemented and have performed well in tests.

The method of attack uses recent results of Lenstra, Lenstra, and Lovasz [ 5 ]. The cryptanalytic problem is treated as a lattice problem rather than a linear programming one as in Shamir's result.

II. GRAHAM SHAMIR KNAPSACK(GSK) [ 2 ]

Public-key cryptosystems require the generation of a "mated pair" of keys. One key is kept secret, the other is made public. It is crucial that the problem of computing the secret key from the public

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key be intractable. In many GSK's this is apparently not the case. Below is a description of the procedure used to generate a mated pair of keys for such a system. How these keys are used for encryption and decryption will not concern us.

STEP 0:

Generate positive integers  $z, n$ .  
 Generate a sequence  $c_1, c_2, \dots, c_n$   
 with  $c_i \in \{0, 1\}^z, i = 1, 2, \dots, n$   
 such that

$$c_i \geq \sum_{j=1}^{i-1} c_j \quad i = 1, 2, \dots, n$$

(where appropriate we will treat strings as the numbers they represent in binary).

Such a sequence is said to be "super increasing".  
 Note that for large  $z, n$  and small  $i, c_i$  will have leading zeros.

STEP 1:

Generate a positive integer  $y$ .  
 Generate a sequence  $r_{h,1}, r_{h,2}, \dots, r_{h,n}, r_{\ell,1}, r_{\ell,2}, \dots, r_{\ell,n}$  with  $r_{h,i} \in \{0,1\}^y, r_{\ell,i} \in \{0, 1\}^y, i = 1, 2, \dots, n$

Calculate

$$b_i = r_{h,i} * c_i * r_{\ell,i} \quad i = 1, 2, \dots, n$$

(the idea is that random  $r$ 's well obscure the "super increasing" properties of the  $c$ 's).

STEP 2:

Generate positive integers  $w, m$ , such that

a)  $(w, m) = 1$

b)  $m > \sum_{i=1}^n b_i$

Calculate

$$a_i \equiv wb_i \pmod{m} \quad i = 1, 2, \dots, n$$

STEP 3:

Generate a permutation  $\pi$  on  $\{1,2,\dots,n\}$   
 output as the public key  $\langle a_{\pi(1)}, a_{\pi(2)}, \dots, a_{\pi(n)} \rangle$   
 keep  $\langle w, m, \langle a_{\pi(1)}, \dots, a_{\pi(n)} \rangle \rangle$  as the private key.

II.b) HUERISTIC FOR CRYPTANALYZING GSK's

We wish to recover  $w, m$ . Clearly, it is enough to recover  $\ell \equiv w^{-1} \pmod{m}$  and  $m$ . We know that there are natural numbers  $k_1, \dots, k_n$  such that

$$la_i - k_i m = b_i \quad i = 1, 2, \dots, n$$

To begin the attack, the cryptanalyst randomly chooses a  $d$  element subset  $T$  of the published  $a$ 's. How large  $d$  should be will be analyzed in what follows.

$$\text{Let } S = \{i | a_i \in T\},$$

the  $i$ 's in  $S$  will not be known to the cryptanalyst.

Consider the following system of equations:

$$\text{SI} \quad La_i - K_i M = B_i \quad i \in S.$$

SI has the following properties:

P1 (Good) Among the solutions  $\langle L, M, \langle K_i \rangle_{i \in S}, \langle B_i \rangle_{i \in S} \rangle$  is the "desired" one  $\langle \ell, m, \langle k_i \rangle_{i \in S}, \langle b_i \rangle_{i \in S} \rangle$ .

P2 (Bad) There are infinitely many undesirable solutions.

P3 (Bad) The system is non-linear ( $K_i M$  terms) and there are no known polynomial time algorithms to solve such systems in general. In fact, the problem of solving even single equations with two unknowns of degree two is already NP-complete [6].

Curiously, P2 will be the key to overcoming P3. But to begin, we will simplify SI. By construction  $M > b_i, i = 1, 2, \dots, n$ . Therefore, there is a largest  $e$  such that  $M/2^e > b_i, i \in S$ . We will assume that this  $e$  is known to the cryptanalyst (since at worst all possible  $e$ 's could be tried in parallel). The size of this  $e$  plays an important role in determining the prospects that this attack will succeed. The larger the  $e$  with respect to  $M$ , the greater the chance of success. We now consider SII.

$$\text{SII} \quad 0 < La_i - K_i M \leq M/2^e \quad i \in S.$$

This system has properties similar to those of SI:

P4 (Good) Among the solution  $\langle L, M, \langle K_i \rangle_{i \in S} \rangle$  is the "desired" one  $\langle \ell, m, \langle k_i \rangle_{i \in S} \rangle$ .

P5 (Bad) There are infinitely many undesirable solutions.

P6 (Bad) The system is non-linear.

Concerning P5, not only are there infinitely many solutions, but in fact for large enough integer  $f$  there is always a solution of the form  $\langle L, f, \langle K_i \rangle_{i \in S} \rangle$ .

To see this consider the rational number  $\frac{f}{m}, f > 0$  and let  $\lfloor \frac{f}{m} \rfloor$  denote the nearest integer smaller than  $\frac{f}{m}$ . Then for some  $\epsilon, 0 \leq \epsilon < 1$   $\frac{f}{m} - \epsilon = \lfloor \frac{f}{m} \rfloor$ . We know that

$$0 < La_i - k_i m < m/2^e \quad i \in S$$

so multiplying by  $f/m$  we have

$$0 < \frac{f}{m} La_i - k_i f \leq f/2^e$$

and it follows that for

$$f > 2^e La, \quad a = \max_{i \in S} \{a_i\},$$

$$0 < \left[ \frac{f}{m} l a_i - \epsilon l a_i \right] - k_i f \leq f/2^e - \epsilon l a_i, \quad i \in S$$

and therefore

$$(*) \quad 0 < \left\lfloor \frac{f}{m} \right\rfloor l a_i - k_i f \leq f/2^e, \quad i \in S .$$

Since  $g = 2^e \text{MAX}(a_i)$  is approximately  $m$  and  $m > l$  the choice of  $f > 2g^2$  should give a new system with new properties:

SIII  $0 < L a_i - K_i f \leq f/2^e \quad i \in S.$

P7 (Good) SIII is linear. Therefore, the methods of Lenstra, Lenstra and Lovacz, may be applicable in finding solutions.

P8 (Very Good)

- a) Among the solutions of SIII there is at least one of the form  $\langle L, \{k_i\}_{i \in S} \rangle$  where  $k_i$ 's are exactly those which occur in the "desired" solution to SII. This follows immediately from (\*).
- b) For  $L = 1, 2, \dots, \lfloor f/2^e a \rfloor$ , there are solutions to SIII of the form  $\langle L, \{0\}_{i \in S} \rangle$ . This again follows immediately from (\*).
- c) For sufficiently large  $d$  there is a high probability that SIII has no other solutions than those indicated in a) and b).

By the arguments in [8], [3] we know that for  $d$  such that  $2^{ed} > 2m$  system .

SIV  $0 < L a_i - K_i m \leq m/2^e \quad i \in S$

should have no solution for  $L$  real, other than in intervals  $(0, \epsilon_1), (l, \epsilon_2)$  for some positive  $\epsilon_1, \epsilon_2 < 1$ .

Since there is a 1 - 1 correspondence between solution to SIII (for real  $L$ ) and solutions to SIV, 8c follows.

Notice that Shamir's arguments also support the following: for integer  $h, 2 < h < 2^e$  and for  $d$  such that  $h^d > 2m$  the system.

SV  $0 < L a_i - K_i m \leq m/h \quad i \in S$

should have no solution for  $L$  real, other than in intervals  $(0, \epsilon_1), (l, \epsilon_2)$  for some positive  $\epsilon_1, \epsilon_2 < 1$ . And therefore

SVI  $0 < L a_i - K_i f \leq f/h \quad i \in S$

should have no solutions in integers other than those of the form

- a)  $\langle L, \{k_i\}_{i \in S} \rangle$
- b) For  $L = 1, 2, \dots, \lfloor f/ha \rfloor, \langle L, \{0\}_{i \in S} \rangle$

In other words for sufficiently large  $d$ , other than the  $L$ 's in solutions a) and b) all other integers  $L$  are such that  $La_i \bmod(f)$  is very large (greater than  $f/h$ ) for some  $i$ .

SOLVING SIII

We will use a lattice reduction algorithm due to Lenstra, Lenstra, and Lovacz [ 5 ] to solve SIII. The algorithm has the following properties

a) On Input

$$V_1, V_2, \dots, V_n \text{ vectors in } R^n$$

Outputs

$$V_1^*, V_2^*, \dots, V_n^* \text{ vectors in } R^n \text{ and integers } f_{i,j} \text{ } 1 \leq i, j \leq n \text{ such that}$$

$$1. V_i^* = f_{i,1} V_1 + f_{i,2} V_2 + \dots + f_{i,n} V_n \quad i = 1, 2, \dots, n$$

$$2. |V_i^*| \leq 1.34 \frac{n-1}{2} |\lambda_i|$$

$$i=1, 2, \dots, n$$

where  $|V|$  denotes Euclidian length of  $V$  and  $\lambda_i$  is the  $i^{\text{th}}$  successive minima of  $L$  (i.e.,  $\lambda_i$  is the shortest vector in  $L$  which is not a linear combination of  $\lambda_1, \lambda_2, \dots, \lambda_{i-1}$ ).

b) It runs in polynomial time (independent of  $n$ ).

Now consider the lattice  $L$  generated by the following vectors

$$V_1 = \langle \hat{a}_1, \hat{a}_2, \dots, \hat{a}_d, 0 \rangle$$

$$V_2 = \langle f, 0, \dots, 0, 0 \rangle$$

$$V_3 = \langle 0, f, \dots, 0, 0 \rangle$$

$$\vdots$$

$$V_{d+1} = \langle 0, 0, \dots, f, 0 \rangle$$

where  $\hat{a}_j$  is the  $j^{\text{th}}$  element in  $T$ .

As we have already argued the lattice  $L$  contains vectors

$$W_1 = \langle \hat{a}_1, \hat{a}_2, \dots, \hat{a}_d, 0 \rangle = 1.V_1 + 0.V_2 + \dots + 0.V_{d+1}$$

$$W_2 = \langle 2\hat{a}_1, 2\hat{a}_2, \dots, 2\hat{a}_d, 0 \rangle = 2.V_1 + 0.V_2 + \dots + 0.V_{d+1}$$

$$\vdots$$

$$W_{\lfloor f/2^e a \rfloor} = \langle \lfloor f/2^e a \rfloor \hat{a}_1, \lfloor f/2^e a \rfloor \hat{a}_2, \dots, \lfloor f/2^e a \rfloor \hat{a}_d, 0 \rangle = \lfloor f/2^e a \rfloor V_1 + 0.V_2 + \dots + 0.V_{d+1}$$

$$U_0 = \langle g_{1,1}, g_{1,2}, \dots, g_{1,d}, 0 \rangle = \hat{L}_0 V_1 + k_1 V_2 + k_2 V_3 + \dots + k_d V_{d+1}$$

$$\vdots$$

$$U_z = \langle g_{z,1}, g_{z,2}, \dots, g_{z,d}, 0 \rangle = \hat{L}_z V_1 + k_1 V_2 + k_2 V_3 + \dots + k_d V_{d+1}$$

for some integers  $\hat{L}_z$  (in fact  $\hat{L}_z = \hat{L}_0 + z$ ) and where  $|U_0| \leq \sqrt{d} f/2^e$ .

Since all the  $W_i$ 's are linear combinations of  $W_1$  it follows that if  $L$  contains no other vector  $Y$  such that  $|Y| \leq \sqrt{d} f/2^e$  then  $\lambda_2 = U_0$ .

Unfortunately, the lattice reduction algorithm is not guaranteed to find  $\lambda_2$  (and therefore the desired  $k_i$ 's) but is only guaranteed to find a vector  $V_2^*$  which is not too much longer.

$$V_2^* \leq 1.34^{\frac{d}{2}} |\lambda_2| \leq (1.34^{\frac{d}{2}})(\sqrt{d})(f/2^e).$$

If, however, we could guarantee that  $L$  contains no "exceptional" vector  $Y$  different than the  $W_i$ 's

and  $U_i$ 's such that  $|Y| \leq (1.34^{\frac{d}{2}})(\sqrt{d})(f/2^e)$  then the lattice reduction algorithm has no choice but to give us one of the  $U_i$ 's as  $V_2^*$  and therefore we obtain the desired  $k_i$ 's.

We have argued that by increasing  $d$  we reduce the probability that  $L$  contains such an "exceptional" vector. On the otherhand increasing  $d$  increases the "inaccuracy" of the lattice reduction algorithm. These opposing pressures will balance out favorably and we will with high probability obtain the  $k_i$ 's when  $d$  is such that

$$\left( \frac{2^e}{(\sqrt{d})(1.34^{d/2})} \right)^d > 2m$$

or taking logs

$$(**) \quad ed - \frac{d}{2} \log(d) - \frac{d^2}{2} \log(1.34) > \log(m) + 1$$

such a  $d$  will not exist if  $e$  is small with respect to  $m$ .

For example, if the  $b_i$ 's are approximately  $2^{200}$  and if  $m$  is approximately  $2^{214}$  then for  $d = 31$  the right hand side of (\*\*) is about 216 and the left hand side is about 215. So that the attack described is very likely to succeed. However, if  $m$  is approximately  $2^{213}$  then no appropriate  $d$  exists and the attack cannot be guaranteed to find the desired  $k_i$ 's.

It is important to note that these calculations for  $d$  are based on the worst case running of the lattice reduction algorithm. Experience suggests that the average behavior of the algorithm, at least on cryptographically generated lattices, is far better. In fact, so much better that I believe it would be prudent, in the absence of countervailing information, for cryptographers to assume that the algorithm always finds exactly  $\lambda_2$  (or at least misses by at most a polynomial in  $d$ ). (See Lagarias [4] for conjectures in a different direction.)

#### NOTICE

Heuristic arguments similar to those above are used to justify several of the steps which follow. Because these additional arguments involve no new ideas, the details will be omitted and only an outline will be provided. In general these arguments require showing that a given system has some "special" or expected solution and that under "reasonable randomness assumptions" other "exceptional" solutions can be made arbitrarily rare by increasing the number of inequalities in the system.

Returning to the attack we now assume that the  $k_i$ 's have been found. Therefore, SII becomes

SVII

$$0 < La_i - k_i M \leq M/2^e \quad i \in S$$

with properties:

P9 (Good) Among the solutions  $\langle L, M \rangle$  is the desired one  $\langle \ell, m \rangle$ .

P10 (Good) The system is linear.

P11 (Bad) There are infinitely many undesirable solutions.

To overcome P11 we need a way to distinguish  $\langle \ell, m \rangle$  from the other solutions. We may do this by observing that what makes  $\ell, m$  special is that at least for small  $i$ ,

$$\ell a_i - k_i m = b_i = r_{h,i} * c_i * r_{\ell,i}$$

is not only less than  $m/2^e$ , but has a "window" of leading zeros in the high order bits of  $c_i$ . In other

words,  $\ell a_i - k_i m \pmod{2^{y+z}}$  is small. Or equivalently, there are integers  $q_i$  such that  $\ell a_i - k_i m - q_i 2^{y+z}$  is small.

Now consider the following system, where  $\hat{T}$  is an  $h$  element subset of  $T$ ,  $\hat{S} = \{i | i \in \hat{T}\}$  and  $b$  is an integer which will be considered later.

SVIII

$$(A) \quad 0 < La_i - k_i M \leq M/2^e \quad i \in \hat{S}$$

$$(B) \quad 0 < La_i - k_i M - Q_i 2^{y+z} \leq 2^{y+z-b} \quad i \in \hat{S}.$$

This system has the following properties:

P12 (Good) It is linear.

P13 (Good) If  $i \in \hat{S} \implies c_i$  has a least  $b$  leading zeros then

a) Among the solutions  $\langle L, M, \{Q_i\}_{i \in \hat{S}} \rangle$  is the desired solution

$$\langle \ell, m, \{q_i\}_{i \in \hat{S}} \rangle$$

b) For  $h$  large enough, then with high probability all undesirable solutions are of one of the following forms:

$$i) \quad \langle L, M, \{Q_i\}_{i \in \hat{S}} \rangle \text{ with } Q_i \neq 0 \text{ and } M \text{ much larger than } m.$$

$$ii) \quad \langle L, M, \{0\}_{i \in \hat{S}} \rangle.$$

Consider for example  $b = z/2$ . Assume, as is reasonable, that  $i < n/2 \implies c_i$  has  $z/2$  leading zeros. Then with probability  $1/2^h$ ,  $\hat{S}$  will contain only  $i$ 's such that  $i < n/2$  and a) will hold. Consider an  $M \neq m$  such that  $\langle L, M, \{Q_i\}_{i \in \hat{S}} \rangle$  is a solution to SVIII (A).

Such  $M$  are sparse as can be seen from the "scaling" arguments of Shamir. For such  $M$  we would expect that  $La_i - k_i M$  would have  $1/2^{z/2}$  chance of having a window of  $z/2$  zeros starting at bit  $y+z$ . Further the chances that for all  $i \in \hat{S}$   $La_i - k_i M$  would have such a window would be expected to be  $(1/2^{z/2})^h$ . If  $h$  is large then  $M$  is extremely unlikely to satisfy SVIII(B) in addition to SVIII(A). The exception is

when  $M$  is so small that  $\lambda_1 - k_1 M$  is actually less than  $2^{y+z-z/2}$  and this gives a solution of type ii.

To give some idea of the selection of  $h$  assume  $M$  is about  $2^{200}$ ,  $z = 100$ ,  $n = 100$ . Then if  $h = 4$  we will choose an appropriate  $\hat{S}$  after about 16 tries and a large  $M \neq m$  which satisfies SVIII(A) will have about  $1/2^{200}$  chance of also satisfying SVIII(B).

### SOLVING SVIII

Again we will use the lattice reduction algorithm. Consider the lattice  $L$  generated by the following vectors, we assume  $b = z/2$  and  $\hat{T}$  contains only elements with a window of  $z/2$  elements at the  $y+z$ th bit.

$$\begin{aligned} V_1 &= \langle \hat{a}_1, \hat{a}_2, \dots, \hat{a}_h, g\hat{a}_1, g\hat{a}_2, \dots, g\hat{a}_h \rangle \\ V_2 &= \langle \hat{k}_1, \hat{k}_2, \dots, \hat{k}_h, g\hat{k}_1, g\hat{k}_2, \dots, g\hat{k}_h \rangle \\ V_3 &= \langle 0, 0, \dots, 0, g2^{y+z}, 0, \dots, 0 \rangle \\ V_4 &= \langle 0, 0, \dots, 0, 0, g2^{y+z}, \dots, 0 \rangle \\ &\vdots \\ V_{h+2} &= \langle 0, 0, \dots, 0, 0, 0, \dots, g2^{y+z} \rangle \end{aligned}$$

where  $\hat{a}_j$  is the  $j^{\text{th}}$  element in  $\hat{T}$

where  $\hat{k}_j$  is the  $k$  corresponding to  $\hat{a}_j$

and

where  $g$  is approximately  $m/2^{y+z/2}$

(the purpose of  $g$  is to make sure that the constraints of SVIII(B) are not "lost" in solutions to SVIII(A) when the Euclidean metric is used. Notice for example, that when  $W = \ell V_1 + mV_2 + q_1 V_3 + \dots + q_h V_{h+2}$  is considered then each entry in  $W$  is about  $m$ , whereas, without the  $g$  the last  $h$  entries of  $W$  would be negligible compared to the first  $h$  entries).

We know from the preceding that the following vectors are in  $L$

$$W = \ell V_1 + mV_2 + q_1 V_3 + q_2 V_4 + \dots + q_h V_{h+2}$$

where  $|W|$  is approximately  $\sqrt{2h}(m)$

$$U_j = \hat{L}_j V_1 + M_j V_2 + 0V_3 + 0V_4 + \dots + 0V_{h+2}$$

for various  $j$ .

By the preceding arguments we can be reasonably sure that all other vectors  $Y \in L$  have  $|Y|$  much larger than  $|W|$ .

Now since  $U_j$  are all dependent on  $V_1$  and  $V_2$  alone. Then will be dependent of  $\lambda_1, \lambda_2$ . The  $U$ 's taken care of, that leaves  $W$  as  $\lambda_3$  and no other vectors of nearly comparable smallness. Therefore the lattice reduction algorithm should find

$$V_3^* = W = \ell V_1 + mV_2 + q_1 V_3 + q_2 V_4 + \dots + q_h V_{h+2}$$



and the desired  $l$  and  $m$  have been recovered.

#### WARNING

The situation just considered is very complicated and cumbersome. The arguments presented are far from being proofs, and at best only provide partial justification for believing that the methods suggested are effective. Many things could go wrong. The ultimate test of these techniques is whether they work on real problems.

In the case of GSK's the method has been implemented by the author. On five different trials where roughly  $z \approx 10^{16}$ ,  $y \approx 10^8$ ,  $m \approx 10^{64}$ ,  $w \approx 10^{64}$  the method succeeded and  $w, m$  were recovered after only several hours of computation on a personal computer. To facilitate the trials the sets  $T$  and  $\hat{T}$  and the numbers,  $e, d$  and,  $h$ , were pre-chosen so that the trial and error parts of the heuristic could be avoided.

In particular one trial used:

$m$  = 3710007477163539079884927556810340706993256827446844839469523587  
 $w$  = 2754665076473556947305356290417812811859660331309122185053365832  
 $b_{i_1}$  = 51330876000000000000000327552192031  
 $b_{i_2}$  = 132359760000000000000918428068384  
 $b_{i_3}$  = 173999710000000000000524397343633  
 $b_{i_4}$  = 25002900572438811724397163537692

$$T = \{a_{i_1}, a_{i_2}, a_{i_3}, a_{i_4}\}$$

$$\hat{T} = \{a_{i_1}, a_{i_2}, a_{i_3}\}$$

#### II. ITERATED KNAPSACK (IK)

Below we indicate how the techniques above might be used to attack the Iterated knapsack systems of Merkle and Hellman. Regretably, the author did not allocate adequate time for a detailed analysis and exposition of the ideas involved. Accordingly only a brief sketch is provided. The ultimate test of the efficacy of these ideas is of course whether they work on real problems.

Below we describe the procedure for generating a mated pair of keys for an IK:

##### STEP 0:

Generate positive integers  $z, n, y$

Generate a sequence  $a_{0,1}, a_{0,2}, \dots, a_{0,n}$  with

$a_{0,i} \in \{0,1\}^z, i = 1, 2, \dots, n$  such that

$$a_{0,i} \geq \sum_{j=1}^{i-1} a_{0,j} \quad i = 2, 3, \dots, n$$

##### STEP 1:

Generate positive integers  $w_1, m_1$  such that

a)  $(w_1, m_1) = 1$

$$b) \quad m_1 > \sum_{i=1}^n a_{0,i}$$

Calculate

$$a_{1,i} \equiv w_1 \cdot a_{0,i} \text{MOD}(m_1)$$

STEP Y:

Generate positive integers  $w_y, m_y$  such that

$$a) \quad (w_y, m_y) = 1$$

$$b) \quad m_y > \sum_{i=1}^n a_{y-1,i}$$

Calculate

$$a_i \equiv w_y a_{y-1,i} \text{MOD}(m_y)$$

STEP Y+1

Generate a permutation  $\pi$  on  $\{1, 2, \dots, n\}$  output as the public key  $\langle a_{\pi(1)}, a_{\pi(2)}, \dots, a_{\pi(n)} \rangle$  keep

$$\langle w_1, m_1, w_2, m_2, \dots, w_y, m_y, \langle a_{\pi(1)}, a_{\pi(2)}, \dots, a_{\pi(n)} \rangle \rangle$$

as the private key.

We know that there are natural numbers  $k_1, k_2, \dots, k_n$  such that

$$l a_i - k_i m = b_i \quad i = 1, 2, \dots, n$$

We may assume that for an appropriate choice of  $e$  and of  $d$  element subset  $S$  of  $\{1, 2, \dots, n\}$  that, using the techniques of section II, we have recovered  $k_i, i \in S$ . We now consider the system

$$\text{TI} \quad 0 < l a_i - k_i m \leq M/2^e \quad i \in S$$

TI has the following properties:

- Q1 (Good)            It is linear.  
Q2 (Good)            Among the solutions  $(L, M)$  is the "desired" one  $(l, m)$ .  
Q3 (Bad)             There are infinitely many undesirable solutions.

We therefore wish to find a means of distinguishing  $(l, m)$  from other solutions. What makes  $(l, m)$  special is that

$$(1) \quad l a_i - k_i m = b_i$$

and  $b_i$  is itself the result of a previous step in the key generation process. That is, there are  $\hat{e}, \hat{l}, \hat{m}$  such that

$$\hat{l} b_i - \hat{k}_i \hat{m} = c_i$$

and

$$(2) \quad \hat{l} b_i - \hat{k}_i \hat{m} \leq \hat{m}/2^{\hat{e}}$$

We will use tricks similar to those for obtaining the  $k_i$ 's to obtain the  $\hat{k}_i$ 's. Consider the following

III

$$(A) \quad 0 < La_i - k_i M \leq M/2^e \quad i \in S$$

$$(B) \quad 0 < La_i - k_i M - \hat{k}_i f \leq f/2^e \quad i \in S$$

when  $f > 2^e b_i$ ,  $i \in S$  and  $d$  is sufficiently large we should obtain from the corresponding lattice problem a  $V_3^*$  whose representation as a linear combination of the inputs will give us  $\{\hat{k}_i\}_{i \in S}$ .

To see part of the reason for this consider multiplying (1) above by  $[A] = \frac{f\hat{\ell}}{\hat{m}} + \epsilon$  for positive  $\epsilon$  less than 1 and multiplying (2) above by  $\frac{f}{m}$ . From these equations it can be seen that  $\{[A]\ell, [A]m, \{k_i\}_{i \in S}\}$  is a solution to III.

having obtained the  $\hat{k}_i$ 's we finally consider the system

$$(A) \quad 0 < La_i - k_i M \leq M/2^e \quad i \in S$$

$$(B) \quad 0 < La_i - k_i M - \hat{k}_i \hat{M} \leq \hat{M}/2^{\hat{e}} \quad i \in S .$$

Solving this using the lattice reduction algorithm should give us a solution  $\langle L, M, \hat{M} \rangle$  with the property that

$$\frac{L}{(L,M)} = \ell \quad \text{and} \quad \frac{M}{(L,M)} = m .$$

Part of the reasoning here is that there are solutions to TIII of the form  $\langle A\ell + g, Am + h, B \rangle$  for integers  $A, B, g, h$ . But  $g, h$  should typically be very small with respect to  $A$  and  $B$ . In fact for very small  $A$  and  $B$  (e.g.,  $A = \ell\ell$ )  $g$  and  $h$  should be controllable and we should be able to find a solution to TIII where the gcd property holds. Failing this, at least a large portion of the high order bits of  $\ell, \ell, m, \hat{m}$  should be discovered.

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